



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Gary De Jong et al.                      Art Unit : 1636  
Serial No. : 09/815,979                              Examiner : Mr. Daniel Sullivan  
Filed : March 22, 2001                              Conf. No. : 7635  
Cust. No. : 20985  
Title : METHODS FOR DELIVERING NUCLEIC ACID MOLECULES INTO  
CELLS AND ASSESSMENT THEREOF

**Mail Stop Amendment**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT IN  
ACCORDANCE WITH 37 C.F.R. §§ 1.97-1.98**

Because this Supplemental Information Disclosure Statement is filed after the receipt of a First Office Action on the Merits for the above-captioned application, a check for the filing fee of \$180.00 is enclosed. If no proper payment is enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal, or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 06-1050.

In accordance with the duty of disclosure imposed by 37 C.F.R. §1.56 to inform the Patent Office of all information known by Applicant or Applicant's representative that may be material to the examination of the subject application, Applicant's representative hereby provides this Supplemental Information Disclosure Statement that is prepared in accordance with 37 C.F.R. §§1.97-1.98. Form PTO-1449 (1 page) and copies of the cited references, other than U.S. Patents and U.S. published applications, are provided herewith in connection with the above-captioned application.

The documents cited on Form PTO-1449 are in the English language. Hence, in accordance with the requirements of 37 C.F.R. §1.98, as amended effective March 16, 1992, no further explanation of the listed items is necessary.

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I hereby certify that this paper is being deposited with the United States Postal "Express Mail Post Office to Addressee" Service under 37 CFR §1.10 on the date indicated above and is addressed to: Commissioner for Patents, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA, 22313-1450.

Stephanie L. Seidman

Further to the Supplemental Information Disclosure Statement filed on December 10, 2004, Applicant also makes known to the Examiner the following pending U.S. Applications that have one or more common inventors and/or are commonly owned:

| <u>U.S.S.N.(App. No.)</u> | <u>Filing Date</u> | <u>Docket No.</u>   |
|---------------------------|--------------------|---------------------|
| 11/006076                 | 12/06/04           | 17084-022002 (420B) |
| 11/082,154                | 03/15/05           | 17084-022003 (420C) |
| AWAITING                  | 11/21/05           | 17084-004018 (402Q) |

Although these documents are made known to the Patent and Trademark Office in compliance with Applicant's duty of disclosure, such disclosure is not to be construed as an admission by Applicant or Applicant's representative that any of the references, singly or in any combination thereof, is effective as prior art against the subject application. In accordance with 37 C.F.R. §1.97(g) and (h), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 C.F.R. §1.56(b) exists.

Applicant respectfully requests that the Examiner review the foregoing references and they be made of record in the file history of the above-captioned application.

Respectfully submitted,

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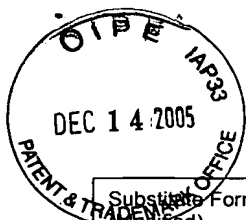
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Attorney Docket No. 17084-018001/416

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Sheet 1 of 1

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|--|--|---|-------------------------------|
| Substitute Form PTO-1449<br>(Modified)   | U.S. Department of Commerce<br>Patent and Trademark Office | Attorney's Docket No.<br>17084-018001/416 | Application No.<br>09/815,979 |
| <b>Information Disclosure Statement<br/>by Applicant</b><br>(Use several sheets if necessary)<br><br>(37 CFR §1.98(b)) |  | Applicant<br>Gary De Jong et al.          |                               |
|  |  | Filing Date<br>March 22, 2001             | Group Art Unit<br>1636        |

**U.S. Patent Documents**

| Examiner<br>Initial | Desig.<br>ID | Document<br>Number | Publication<br>Date | Patentee       | Class | Subclass | Filing Date<br>If Appropriate |
|---------------------|--------------|--------------------|---------------------|----------------|-------|----------|-------------------------------|
|                     | AA           | 6,936,469          | 08/30/05            | De Jong et al. | 435   | 458      | 03/22/01                      |
|                     |              |                    |                     |                |       |          |                               |
|                     |              |                    |                     |                |       |          |                               |
|                     |              |                    |                     |                |       |          |                               |

**Foreign Patent Documents or Published Foreign Patent Applications**

| Examiner<br>Initial | Desig.<br>ID | Document<br>Number | Publication<br>Date | Country or<br>Patent Office | Class | Subclass | Translation |    |
|---------------------|--------------|--------------------|---------------------|-----------------------------|-------|----------|-------------|----|
|                     |              |                    |                     |                             |       |          | Yes         | No |
|                     |              |                    |                     |                             |       |          |             |    |
|                     |              |                    |                     |                             |       |          |             |    |
|                     |              |                    |                     |                             |       |          |             |    |
|                     |              |                    |                     |                             |       |          |             |    |
|                     |              |                    |                     |                             |       |          |             |    |

**Other Documents (include Author, Title, Date, and Place of Publication)**

| Examiner<br>Initial | Desig.<br>ID | Document   |
|---------------------|--------------|--|
|                     | AB           | Henning, "Human artificial chromosomes generated by modification of a yeast artificial chromosome containing both human alpha satellite and single-copy DNA sequences," Proceedings of the National Academy of Sciences 96: 592-597 (1999) |
|                     |              |  |
|                     |              |  |
|                     |              |  |

|  |                 |
|--|-----------------|
| Examiner Signature   | Date Considered |
| EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. |                 |

Substitute Disclosure Form (PTO-1449)